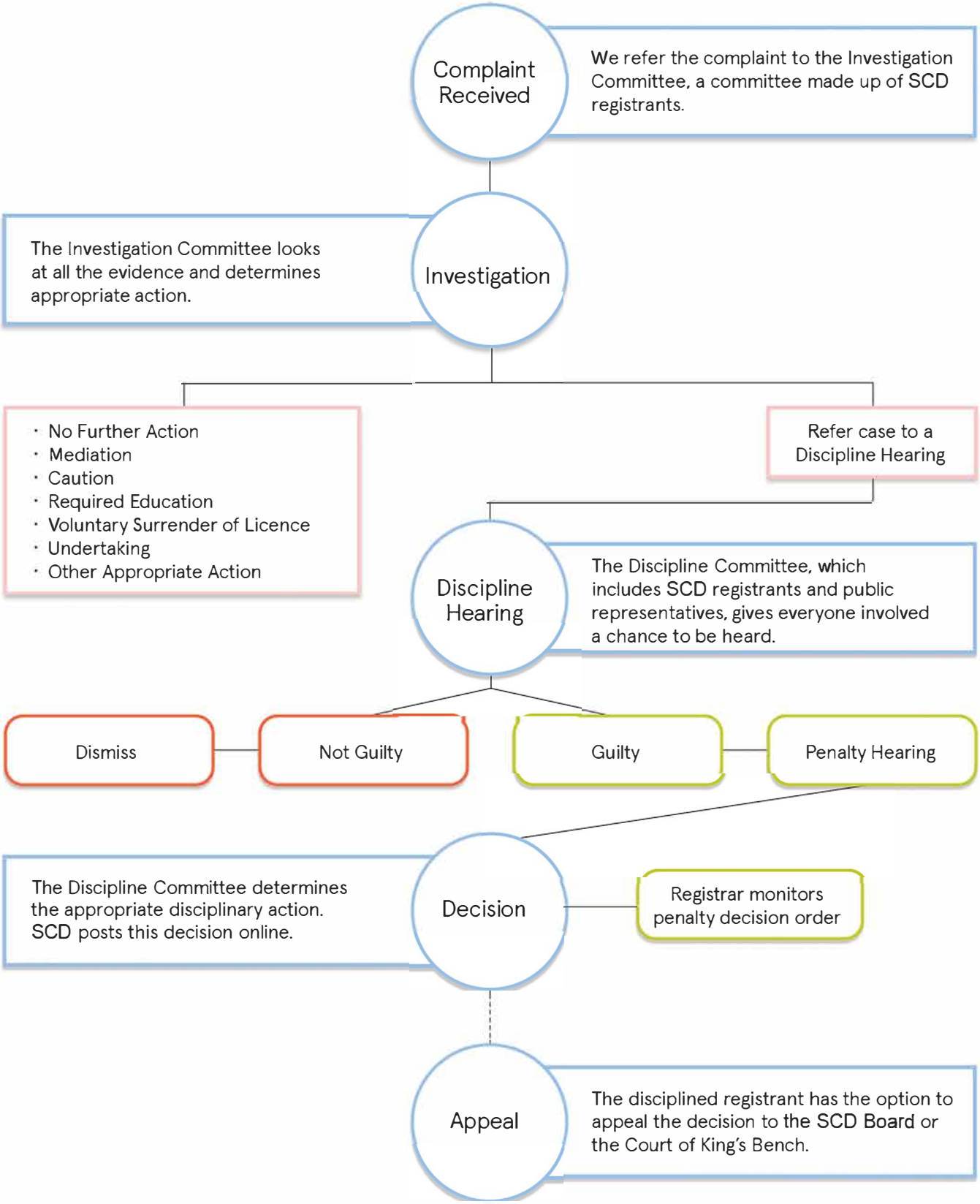
Investigation & Discipline Process

When we receive a complaint about a registrant's practice, we follow this process to investigate and then discipline, when necessary.